#### **Computer Organisation and Architecture**

David J. Scott

d.scott@auckland.ac.nz

#### Department of Statistics, University of Auckland

#### **Outline**

- Components of a computer system
- Digital circuits
- Representation of information
- Operating systems

#### Resources

- Introduction to Computers by Grassroots Design, available at http://www.grassrootsdesign.com/intro/index.php
- Suggested sections:
  - Hardware
  - Software
- Peter Norton's Guide to Computers available at http://www.glencoe.com/norton/norton4e/introduction/index
  - Suggested lessons: 1, 7, 9, 28
  - The Fifth Edition PowerPoint lessons are excruciatingly dull
- For a hard-core treatment, see Jonathan G Campbell Lecture Notes on Computer Systems and Operating Systems available at http://www.jgcampbell.com/caos/html/csys.html

#### **Resources**

howstuffworks at http://electronics.howstuffworks.com/elec-computers-change

- Suggested sections, under Electronics Stuff: How Bits and Bytes Work, How Computer Memory Works, How Microprocessors Work, How Operating Systems Work, How PCs Work
- Under Computer Stuff: How Boolean Logic Works

## **Components of a Computer System**

#### **Computers**

A working computer requires hardware and software

- Hardware: the computer's physical and electronic components
  - input devices
  - a central processing unit (CPU)
  - memory-storage devices
  - output devices

Linked together by a communication network or bus (see diagrams)

- Software: the programs that instruct the hardware to perform tasks
  - Operating system software
  - Applications software



- Keyboard
- Mouse
- Camera, still or video
- Microphone
- Joystick, trackball
- Lightpens, touch screens, digitizer pads, optical scanners, voice-recognition circuitry

## CPU

- Performs arithmetic and logic operations on data
- Times and controls the rest of the system
- Larger computers have a CPU with several linked microchips, called microprocessors, performing separate tasks
- Smaller computers have a single microprocessor
- Most CPUs have 3 functional sections
  - arithmetic/logic unit (ALU) for arithmetic and logic operations
  - temporary storage, called registers for data, instructions or intermediate results of calculations
  - control section, times and regulates all elements of the computer system, translates register patterns into computer activities

## **Clock and Clock Speed**

A very fast clock times and regulates the CPU

- Each clock tick or cycle causes each part of the CPU to begin its next operation and to stay synchronized with the other parts
- A fast computer requires a fast clock, speed measured in cycles per second, or hertz (Hz)
- Typical desktop speeds are 1 to 4 gigahertz (GHz) or billion cycles per second
- Simple operations (e.g. copying) take 1 to 2 cycles, others (e.g. division) up to dozens of cycles

## **Memory-Storage Devices**

Storage can be internal or external (auxiliary)

- Internal storage
  - Main memory or random access memory (RAM)
  - Each byte can be stored and retrieved directly rather than sequentially
  - Comprised of memory chips soldered onto printed circuit boards or plugged into sockets on the motherboard
  - Also called read/write memory
  - Other memory is read-only memory (ROM)
  - Persists with no power, used to boot up the computer and carry out basic operations
  - Both hardware and software: firmware

# **Auxiliary Storage**

- Hard disks, floppy disks, magnetic tape
  - Store data magnetically by rearranging metal particles on their surfaces
  - Tape drives store huge amounts of data, used for backing up
- Flash drives are solid state electronic storage, combine recordability of RAM with persistence of ROM
- Optical disks encode data as a series of pits and flat spaces, read by a laser

## **Output Devices**

- Video display terminal (VDT) or monitor, either a cathode-ray tube (CRT) or liquid-crystal display (LCD)
- Modem (modulater-demodulator) converts digital signal to analogue for transmission over telephone lines
- A DSL or digital subscriber line modem does the transfer if the telephone line is digital
- A wireless modem converts the digital signal to high-frequency radio waves
- Printers are used for hard copy

#### **Digital Circuits**



- Digital circuits are complicated combinations of logic gates
- Gates are usually implemented electronically using diodes or transistors
- A logic gate takes one or more logic level (0 or 1, or TRUE or FALSE) inputs and produces a single logic output
- There are a few basic gates: NOT, AND, OR, NAND, NOR, XOR, XNOR, which are defined by their truth tables



- For example a NOT gate is a simple inverter: it takes a single input and 0 input produces 1, 1 input produces 0
- Below are the truth table for a NOT gate and the symbol used to represent it in a circuit diagram

Input	Output
A	Not A
0	1
1	0



## Logic Gates

- A NAND gate is a NOT AND gate, it takes two inputs and produces 0 only when both inputs are 1
- The X in XOR and XNOR means exclusive, for example the XOR takes two inputs and is like an OR gate except it excludes the case of both inputs being 1
- The truth table and diagram for an OR gate are shown below

Inp	out	Output
Α	В	A OR B
0	0	0
0	1	1
1	0	1
1	1	1



## **Digital Circuits**

- Combining two NAND gates or NOR gates with feedback from one to the other creates a flip-flop which can be used to store a single binary digit or bit
- Static RAM for registers is built out of flip-flops
- A half adder can be built out of an XOR and an AND gate, it takes two binary inputs and produces the sum and a carry bit



## **Digital Circuits**

- A full adder performs addition on two binary digits and a carry in bit to produce a sum and a carry value
- Full adders may be combined to produced an adder for two binary words, say 16, 32 or 64 bits
- If 2's complement representation is used to represent negative numbers, it is easy to modify an adder to be an adder-subtracter



#### Information Representation

## **Binary Data**

- All data in a computer is stored in binary format
- A bit is single binary digit
- A byte is eight binary digits
- The size of a word varies from computer to computer
- Commonly a word is a number of bytes with modern computers being 4 bytes or 32 bits, or increasingly 8 bytes or 64 bits

## **Representing Text**

- Character data is commonly stored as one byte per character
- This allows  $2^8 = 256$  different characters to be represented
- Commonly the representation used is ASCII (American Standard Code for Information Interchange)
- The English letters (upper and lower case) and special characters (\$,%, etc) and characters for special actions such as NL for new line take up only 7 bits (128 characters)
- One use for the remaining 128 characters is for foreign language symbols ä,é,å,ç,...
- Unicode is an industry standard using two bytes to represent and manipulate text in any of the world's writing systems (Latin, Cyrillic, Hangeul, Arabic, Devanagari, Syllabaries, Chinese Characters) comprises about 100,000 characters

## **Representing Integers**

- Representing decimal numbers as one character per byte is very wasteful
- Numbers are stored as binary with various encodings
- With the standard conversion of decimal integers to binary integers, in one byte, the numbers 0 to 255 are represented by 0000 0000 (2) = 0 (10) to 1111 1111 (2) = 255 (10) where decimal numbers in parentheses indicate the base
- To represent more numbers, use more bytes
- What about negative numbers? Use 1 bit for the sign, gives signed magnitude representation

## Signed Magnitude Representation

Decimal	Binary
-7	1111
-6	1110
-5	1101
-1	1001
-0	1000
0	0000
1	0001
5	0101
6	0110
7	0111

## **Representing Integers**

- 32 bits allows for  $2^{32} = 4,294,967,296$  different numbers
- Signed magnitude would allow only  $2^{32} 1$  numbers. Why?
- Other encodings also permit easier construction of devices which can both add and subtract
- Commonly 2's complement is used to encode negative numbers
- Start with 1's complement where the rule to represent an integer n is
  - if n is positive, the binary representation is the same as the signed magnitude form
  - if n is negative take the 1's complement form of -n (a positive number) and complement it

## 1's Complement Representation

Decimal	Binary
-7	1000
-6	1001
-5	1010
-1	1110
-0	1111
0	0000
1	0001
5	0101
6	0110
7	0111

## **Representing Integers**

- Two 1's complement numbers can be added without considering their signs, provided that the sign bits are added also, and if there is a carry, the carry is added on the right (called end-around carry)
- With one byte, 1's complement encodes -127 to -0 as 1000 0000 to 1111 1111, instead of 1111 1111 to 1000 0000, in signed magnitude
- 2's complement: add 1 to the 1's complement (ignoring overflow)
- Encode -128 to -1 as 1000 0000 to 1111 1111

## 2's Complement Representation

Decimal	Binary
-8	1000
-7	1001
-6	1010
-5	1011
-1	1111
-0	0000
0	0000
1	0001
5	0101
6	0110
7	0111

## **Representing Real Numbers**

- Fixed point: 1 bit for sign, some bits for integral part, remaining bits for fractional part
- Disadvantages: overflow occurs very readily because the range of the numbers representable is very small
- Overflow: getting an answer too large to be represented

## **Representing Real Numbers**

- Floating point: like scientific notation, e.g. represent 3675201000000000000 as  $3.675201 \times 10^{19}$
- The numbers in front giving the value comprise the mantissa, then comes the base (10 in this case) raised to the power given by the exponent
- In a computer the base is 2.
- In normalised floating point form, the mantissa has only zeroes before the decimal or binary point.
- Solution With base 10,  $0.1 \le |mantissa| < 1$ , while in binary, the first bit after the point is a 1.
- Since the first bit is a 1, it can be dropped effectively giving an extra bit for the the mantissa

## **Representing Real Numbers**

- Typical computer representation with a 32 bit word might be: 1 sign bit, 8 bits for the exponent, 23 for the mantissa
- Then exponents are in the range -128 to 127 (using 2's complement say)
- Floating point numbers have large range but are not spread evenly over line
- We can still have overflow, but also underflow, and roundoff error
- Zero is usually represented by zero mantissa and largest negative exponent

#### **Floating Point Calculations**

Can you explain the following? > a <- sqrt(2) > a \* a == 2 [1] FALSE > (x <- seq(-0.5, 0, 0.1)) [1] -0.5 -0.4 -0.3 -0.2 -0.1 0.0> x == -0.4[1] FALSE TRUE FALSE FALSE FALSE FALSE > x = -0.2

[1] FALSE FALSE FALSE FALSE FALSE FALSE

## **Floating Point Calculations**

Floating point operations do not necessarily obey the laws of arithmetic. In particular

- Addition need not be associative: (a+b) + c ≠ a + (b+c)
- Multiplication need not be associative:  $(a \times b) \times c \neq a \times (b \times c)$
- Multiplication may not be distributive over addition:  $a \times (b+c) \neq a \times b + a \times c)$
- Order of operations may be important:  $(a \times b)/c \neq (a/c) \times f$
- Cancellation may not apply:  $a + c = b + c \quad \text{does not mean} \quad a = b$

## **Operating Systems**

# What is an Operating System?

- An operating system is a set of computer programs that manage the hardware and software resources of a computer
- Performs basic tasks
  - controlling and allocating memory
  - prioritizing system requests
  - controlling input and output devices
  - facilitating networking
  - managing file systems
- The interface to the operating system can be a command line interpreter or a graphical user interface (GUI)

#### **Process Management**

- Every program is a process (see the Task Manager on a Windows computer)
- Running multiple processes is called multitasking: carried out by rapid switching between programs (time slicing)
- Processes are assigned a priority
- Interrupt driven processes generally receive high priority

## **Memory Management**

- Organisation is hierarchical: fastest to slowest
- Registers, CPU cache, RAM, disk storage
- OS coordinates availability, allocation and deallocation, moving data between storage
- Limited size of RAM is overcome by the operating system using virtual memory: swapping data in from disk as needed in a transparent manner
- When processes require more memory than is available this can lead to thrashing where the computer spends all its time transferring data and none doing any real work

#### **Security**

- Access to resources such as files, sensitive system calls,
- Distinguish between different users to determine access to resources
- Allow or disallow network requests for access: firewalls are used



- Each device connected to the computer has a device driver
- Controls interaction between the device and the computer
- The operating system provides generic support for types of device, a device driver translates the generic operations provided by the operating system into device specific calls